



# Exams Archiving Policy

Policy/Procedure creator: Mrs Jill Thomson

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Centre Name	St Francis' College
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Current policy approved by	Mrs Bronwen Goulding
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Current policy reviewed by	Mrs Bronwen Goulding

## Key staff involved in the policy

Role	Name
Exams officer	Mrs Jill Thomson
Senior leader(s)	Mr James Nichols, Dr Nick Dennis, Mr Dan Carr
Head of centre	Mrs Bronwen Goulding
IT manager	Mrs Cathy Eames
ALS lead/SENCo	Mrs Armande Fryatt
Finance manager	Mrs Cathy Eames
Head(s) of department	all subject HoDs
Other staff (if applicable)	Not Applicable

This policy is reviewed and updated annually to ensure that records are archived/retained in accordance with current requirements.

References in this policy to GR, ICE, SC and PRS refer to the JCQ publications **General Regulations for Approved Centres**, **Instructions for conducting examinations**, **A guide to the special consideration process** and **Post-Results Services**.

## Purpose of the policy

The purpose of this policy is to:

- identify exams-related information/records held by the exams office
- identify the retention period
- determine the action required at the end of the retention period and the method of disposal
- inform or supplement the centre-wide records management policy/data retention policy

### 1. Access arrangements information

#### Record(s) description

Any student's Access Arrangement Information

#### Retention information/period

is kept for a year after the student has left the school

#### Action at the end of retention period (method of disposal)

the information is given to the INCo at the school

### 2. Alternative site arrangements

#### Record(s) description

N/A

#### Retention information/period

Not Applicable

#### Action at the end of retention period (method of disposal)

confidentially destroyed.

### 3. Attendance register copies

#### Record(s) description

destroyed once the RoR period has passed

#### Retention information/period

Records are kept in accordance with the requirements of ICE, sections 12, 22 (...keep signed records of the seating plan, the invigilation arrangements and the centre's copies of the attendance registers for each examination. The awarding bodies may need to refer to these records. The centre must keep them until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later...).

#### Action at the end of retention period (method of disposal)

confidentially shredded

### 4. Awarding body administrative information

#### Record(s) description

N/A

#### Retention information/period

retained until the end of the current academic year.

**Action at the end of retention period (method of disposal)**

destroyed as per recommendation

5. Candidates' scripts

**Record(s) description**

Any unwanted copies of scripts returned to the centre through the Access to Scripts (ATS) service.

**Retention information/period**

To be retained securely until the awarding body's earliest date for confidential disposal of unwanted scripts in accordance with the requirements of GR, section 3.15 ( ... ensure that when scripts that have been returned under access to scripts arrangements are no longer required, they are disposed of in a confidential manner, but no earlier than the dates specified by the awarding bodies...).

**Action at the end of retention period (method of disposal)**

confidential disposal

6. Candidates' work

**Record(s) description**

Non-examination assessment work returned to the centre by the awarding body at the end of the moderation period.

**Retention information/period**

Records logged on return to the centre and immediately returned to subject staff as records owner. To be stored safely and securely along with work that did not form part of the moderation sample (including materials stored electronically) in accordance with the requirements of GR, section 3.15 (...store safely and securely all non-examination assessments, including controlled assessments, coursework or portfolios, retained in, or returned to, the centre until the deadline for a review of moderation has passed or until a review of moderation, an appeal or a malpractice investigation has been completed, whichever is later. This includes materials stored electronically... ).

**Action at the end of retention period (method of disposal)**

Returned to candidates or HoDs who should return it to their students or destroy it confidentially

7. Centre consortium arrangements for centre assessed work

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

8. Certificates

**Record(s) description**

Candidate certificates issued by awarding bodies.

**Retention information/period**

All certificates are kept for a minimum of 12 months. The EO makes every effort to contact the student to let them know that the certificates have arrived.

**Action at the end of retention period (method of disposal)**

confidential destruction with records indicating what and when.

9. Certificate destruction information

**Record(s) description**

A record of unclaimed certificates that have been destroyed.

**Retention information/period**

Records retained in accordance with the requirements of GR, section 5.14 ( ... destroy any unclaimed certificates after retaining them for a minimum of 12 months. They must be destroyed in a confidential manner. Centres that do not have a means of destroying certificates confidentially may return them to the respective awarding body. A record of certificates that have been destroyed should be retained for four years from their date of destruction. However, candidates should be informed that some awarding bodies do not offer a replacement certificate service. In such circumstances the awarding body will issue a Certifying Statement of Results... (Where an awarding body issues a replacement certificate, or a Certifying Statement of Results, this will provide an accurate and complete record of results for all qualifications covered by the original certificate... return any certificates requested by the awarding bodies. Certificates always remain the property of the awarding bodies.).

**Action at the end of retention period (method of disposal)**

Confidential destruction

10. Certificate issue information

**Record(s) description**

A record of certificates that have been issued.

**Retention information/period**

Records retained in accordance with the requirements of GR, section 5.14 ( ... distribute certificates to all candidates without delay and regardless of any disputes (such as non-payment of fees). Certificates must not be withheld without prior permission from an awarding body which will only be given in very exceptional circumstances. A record should be kept of the certificates that are issued...).

**Action at the end of retention period (method of disposal)**

Not Applicable

11. Confidential materials: initial point of delivery logs

**Record(s) description**

Logs recording awarding body confidential exam materials received by an authorised member of staff at the initial point of delivery and the secure movement of packages by an authorised member of staff to the secure room for transferal to the centre's secure storage facility

**Retention information/period**

The admin department pass the confidential material on to the EO asap. The material is locked away in the secure store and checked as soon as is reasonably possible.

**Action at the end of retention period (method of disposal)**

N/A

12. Confidential materials: receipt, secure movement and secure storage logs

**Record(s) description**

Logs recording awarding body confidential exam materials received by an authorised member of staff at the initial point of delivery and the secure movement of packages by an authorised member of staff to the secure room for transferal to the centre's secure storage facility.

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

[13. Conflicts of interest records](#)

**Record(s) description**

Records demonstrating the management of conflicts of interest.

**Retention information/period**

Records retained in accordance with the requirements of GR, section 5.3 (...The records may be inspected by a JCQ Centre Inspector and/or awarding body staff. They might be requested in the event of concerns being reported to an awarding body. The records must be retained until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later.).

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[14. Dispatch logs](#)

**Record(s) description**

Proof of dispatch of exam script packages to awarding body examiners covered by the DfE (Standards & Testing Agency) yellow label service.

**Retention information/period**

Exams-related information that is held in the centre and retained until after the deadline for reviews of results or other results enquiries (or the resolution of any outstanding reviews/appeals or malpractice investigations) for the relevant exams series).

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[15. Entry information](#)

**Record(s) description**

Any hard copy information relating to candidates' entries.

**Retention information/period**

for upto a year after the exam.

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[16. Exam question papers](#)

**Record(s) description**

Question papers for timetabled written exams.

**Retention information/period**

Records retained in accordance with the requirements of GR, section 6.13 (...For confidentiality purposes question papers must not be released to centre personnel for use in accordance with the above licence until after the awarding body's published finishing time for the examination or, in the case of a timetable variation, until all candidates within the centre have completed the examination. This does not restrict access to question papers by authorised centre personnel for the purpose of conducting examinations...).

**Action at the end of retention period (method of disposal)**

issued to the relevant HoD

[17. Exam room checklists](#)

**Record(s) description**

Checklists confirming exam room conditions and invigilation arrangements for each exam session.

**Retention information/period**

a year after the exam series

**Action at the end of retention period (method of disposal)**

confidential destruction

[18. Exam room incident logs](#)

**Record(s) description**

Logs recording any incidents or irregularities in exam rooms for each exam session.

**Retention information/period**

a year after the examination series

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[19. Exam stationery](#)

**Record(s) description**

Awarding body exam stationery provided solely for the purpose of external exams.

**Retention information/period**

Records retained in accordance with the requirements of ICE, section 30 (...return unused stationery to the secure storage facility or secure room until needed for a future examination. Surplus stationery must not be used for internal school tests, mock examinations and non-examination assessments... destroy confidentially any out-of-date stationery.).

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[20. Examiner reports](#)

**Record(s) description**

N/A

**Retention information/period**

n?a

**Action at the end of retention period (method of disposal)**

N/A

[21. Finance information](#)

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

[22. Invigilation arrangements](#)

**Record(s) description**

Checklists confirming exam room conditions and invigilation arrangements for each exam session.

**Retention information/period**

Upto a year after the end of the examination series

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[23. Invigilator and facilitator training records](#)

**Record(s) description**

Record of training and content

**Retention information/period**

Records retained in accordance with the requirements of ICE, section 12 (A record of the content of the training given to invigilators and those facilitating an access arrangement for a candidate under examination conditions must be available for inspection and retained on file until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later. A record of the content of the training given to invigilators and those facilitating an access arrangement for a candidate under examination conditions must be available for inspection and retained on file until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later.).

**Action at the end of retention period (method of disposal)**

confidentially destroyed

[24. Moderator reports](#)

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

## 25. Moderation return logs

### Record(s) description

Logs recording the return of candidates' work to the centre by the awarding body at the end of the moderation period.

### Retention information/period

Exams-related information that is held in the centre is retained at the very least until after the deadline for reviews of results or other results enquiries (or the resolution of any outstanding reviews/appeals or malpractice investigations) for the relevant exams series).

### Action at the end of retention period (method of disposal)

Returned to the relevant department

## 26. Overnight supervision information

### Record(s) description

The JCQ Overnight Supervision form is completed online using CAP. The JCQ Overnight Supervision Declaration form is downloaded from CAP for signing by the candidate, the supervisor and the head of centre

### Retention information/period

Records retained in accordance with the requirements of ICE, section 8 (...keep all completed forms available for inspection until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later. Forms may be stored electronically or in hard copy paper format and must not be sent to an awarding body, unless specifically requested...).

### Action at the end of retention period (method of disposal)

confidentially destroyed

## 27. Post-results services: confirmation of candidate consent information

### Record(s) description

Hard copy or email record of required candidate consent.

### Retention information/period

Records retained in accordance with the requirements of PRS, section 4 plus appendix A and B (Consent forms or e-mails from candidates must be retained by the centre and kept for at least six months following the outcome of the clerical re-check or review of marking or any subsequent appeal. The awarding bodies reserve the right to inspect such documentation. This form should be retained on the centre's files for at least six months following the outcome of the clerical re-check, review of marking or any subsequent appeal. This form should be retained on the centre's files for at least six months.).

### Action at the end of retention period (method of disposal)

confidentially destroyed

## 28. Post-results services: request/outcome information

### Record(s) description

Any hard copy information relating to a post-results service request (RoRs, appeals, ATS) submitted to an awarding body for a candidate and outcome information from the awarding body.

### Retention information/period

as per JCQ recommendations.



**Action at the end of retention period (method of disposal)**

confidential destruction

29. Post-results services: tracking logs

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

30. Private candidate information

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

31. Proof of postage - candidates' work

**Record(s) description**

Proof of postage/dispatch of candidates' scripts to awarding body examiners/markers. Proof of postage/dispatch of sample of candidates' work submitted to awarding body moderators.

**Retention information/period**

Records (proof of postage/dispatch of candidates' scripts to awarding body examiners/markers) retained in accordance with the requirements of ICE, section 29 (Centres not involved in the secure despatch of exam scripts service... must obtain proof of postage/despatch for each packet of scripts, which must be retained on the centre's files until the results are published, in case of loss or damage. (Proof of postage will provide evidence that the candidates' scripts have left the centre. This will indicate that the scripts were written at the appointed time and that, should the scripts not be received by the awarding body/examiner, then special consideration may be possible)...).

**Action at the end of retention period (method of disposal)**

Confidentially destroyed

32. Resolving timetable clashes

**Record(s) description**

Any hard copy information relating to the resolution of a candidate's clash of timetabled exam papers.

**Retention information/period**

as per JCQ guidelines

**Action at the end of retention period (method of disposal)**

confidentially destroyed

### 33. Results information

#### **Record(s) description**

N/A

#### **Retention information/period**

N/A

#### **Action at the end of retention period (method of disposal)**

N/A

### 34. Seating plans

#### **Record(s) description**

Plans showing the seating arrangements of all candidates for every exam taken.

#### **Retention information/period**

Records retained in accordance with the requirements of ICE, section 12 (...keep signed records of the seating plan, the invigilation arrangements and the centre's copies of the attendance registers for each examination. The awarding bodies may need to refer to these records. The centre must keep them until the deadline for reviews of marking has passed or until any appeal, malpractice or other results enquiry has been completed, whichever is later.).

#### **Action at the end of retention period (method of disposal)**

confidentially destroyed

### 35. Special consideration information

#### **Record(s) description**

Any hard copy information relating to a special consideration application which has been submitted to an awarding body for a candidate and signed evidence produced by a senior leader in support of the application.

#### **Retention information/period**

Records retained in accordance with the requirements of SC, section 6 (All applications must be supported by signed evidence produced by a member of the senior leadership team. The centre must retain this evidence until after the publication of results.)

#### **Action at the end of retention period (method of disposal)**

confidentially destroyed

### 36. Suspected malpractice reports/outcomes

#### **Record(s) description**

ny hard copy information relating to a suspected or actual malpractice investigation/report submitted to an awarding body and outcome information from the awarding body.

#### **Retention information/period**

as per JCQ guidelines

#### **Action at the end of retention period (method of disposal)**

confidentially destroyed

37. Transferred candidate arrangements

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

38. Very late arrival reports/outcomes

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

39. Any other records/documentation/materials

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A

40. Any other records/documentation/materials

**Record(s) description**

N/A

**Retention information/period**

N/A

**Action at the end of retention period (method of disposal)**

N/A